



PATENT

Applicant: KESTON, R.J. ET AL.  
Serial No.: 09/724,691  
Filed: NOVEMBER 28, 2000  
Title: INTRA-AORTIC RENAL DRUG DELIVERY CATHETER  
Group: 3763  
Examiner: GHAFORIAN, ROZ  
Docket No.: 26842-1060 (FLO5360.03A)

**RECEIVED**  
MAR 21 2003  
OFFICE OF PETITIONS

**Assistant Commissioner for Patents  
Washington, D.C. 20231**

**REQUEST FOR CORRECTED FILING RECEIPT**

Dear Sir:

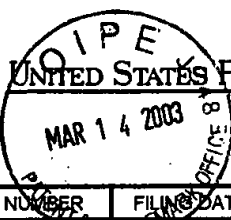
The Applicant respectfully requests a corrected Filing Receipt. The present Filing Receipt (a copy of which is attached as Exhibit A) has an incorrect attorney docket number of 26842-1060.

Applicant respectfully requests that a corrected Filing Receipt be issued to reflect the correct attorney docket number of FLO5360.03A.

Dated: 3/14/03.

Respectfully submitted,

John P. O'Banion, Reg. No. 33,201  
O'BANION & RITCHEY LLP  
400 Capitol Mall, Suite 1550  
Sacramento, CA 95814  
(916) 498-1010



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/724,691	11/28/2000	3736	413	26842-1060	8	22	4

CONFIRMATION NO. 7842

## FILING RECEIPT



\*OC000000005932855\*

Heller Ehrman White & McAuliffe LLP  
Suite 1100  
525 University Avenue  
Palo Alto, CA 94301-1900

Date Mailed: 04/04/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

RECEIVED

MAR 21 2003

OFFICE OF PETITIONS

## Applicant(s)

Randy J. Kesten, Mountain View, CA;  
Michael H. Rosenthal, Palo Alto, CA;  
Sam G. Payne, Santa Clara, CA;  
Andrew K. Kramer, Los Gatos, CA;  
Sophia Pesotchinsky, Los Altos, CA;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 04/03/2001

Projected Publication Date: N/A

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Exhibit A

Title

Intra-aortic renal drug delivery catheter

Preliminary Class  
600

Data entry by : ABRAHA, ZEWDITU

Team : OIPE

Date: 04/04/2001



**LICENSE FOR FOREIGN FILING UNDER**  
**Title 35, United States Code, Section 184**  
**Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**


No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)			Docket No.	
Applicant(s): KESTON, ROJ ET AL.			26842-1060(FLO5360.03A)	
Serial No. 09/724,691	Filing Date MAR 14 2003 11/28/2000	Examiner GHAFOORIAN, ROZ	Group Art Unit 3763	
Invention: INTRA-AORTIC REVERSAL DRUG DELIVERY CATHETER				
<p>I hereby certify that the following correspondence:</p> <div>Request for corrected filing receipt (Page 1 thru 5)</div> <p>(Identify type of correspondence)</p> <p>is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on</p> <p><u>March 14, 2003</u></p> <p>(Date)</p> <div><p><u>Jerry V. King</u></p><p>(Typed or Printed Name of Person Mailing Correspondence)</p><p><u></u></p><p>(Signature of Person Mailing Correspondence)</p><p><u>EV193318233US</u></p><p>("Express Mail" Mailing Label Number)</p></div>				

Note: Each paper must have its own certificate of mailing.

RECEIVED  
MAR 21 2003  
OFFICE OF PETITIONS